

## REQUEST FOR PROPOSAL (RFP) ADDENDUM

Addendum No.: 3

Date of Addendum: August 9, 2024

Due Date, Time: April 2, 2025 (4pm CT)

Title: PFAS Source Identification and Reduction Grant Program

### SCOPE OF ADDENDUM

The RFP is revised as follows with ~~strike through~~ for deletions and underlining for insertions:

### Revision 1

In the RFP, page 1, “Maximum award” and “Maximum award for plan development” are amended as follows:

**Maximum award: ~~\$125,000 per applicant/organization.~~**

- Applicants that have voluntarily participated in or have been sampled by an MPCA contractor as part of the MPCA PFAS Monitoring Plan\* FOR projects that are located in or benefit an area that has been identified as a location of environmental justice\*\* concern are eligible for up to \$125,000. No more than \$75,000 of the grant funding can be used for plan development and preparation. The remainder of the award must be applied towards execution of the plan and/or the other eligible costs.
- Applicants that have voluntarily participated in or have been sampled by an MPCA contractor as part of the MPCA PFAS Monitoring Plan FOR projects that are NOT located in or benefit an area that has been identified as a location of environmental justice concern are eligible for up to \$100,000. No more than \$60,000 of the grant funding can be used for plan development and preparation. The remainder of the award must be applied towards execution of the plan and/or the other eligible costs.
- Other eligible applicants FOR eligible projects are eligible for up to \$75,000. No more than \$45,000 of the grant funding can be used for plan development and preparation. The remainder of the award must be applied towards execution of the plan and/or the other eligible costs.

\* This includes:

- Municipal Wastewater PFAS Monitoring MOU participants or an industrial facility that discharges PFAS containing wastewater to one of the qualified municipal wastewater PFAS monitoring MOU participants;
- Industrial facilities that participated in or were sampled as part of an MPCA PFAS Monitoring Plan; and/or
- Solid waste management facilities that were part of or associated with an MPCA PFAS Monitoring Plan.

Reference the list of [Facilities included in the PFAS monitoring plan.](#)

\*\*Use the [MPCA’s criteria and interactive mapping tool](#) (recently updated on the MPCA website) to identify if the proposed project, or the direct benefit from the project, is located in one or more of MPCA’s identified environmental justice (EJ) areas of concern.

**~~Maximum award for plan development: No more than \$75,000 of the grant funding can be used for plan development and preparation. The remainder of the award must be applied towards execution of the plan and/or the other eligible costs.~~**

### Revision 2

In the RFP, page 2, “Eligible applicants” is amended as follows:

### Eligible applicants

- Applicants must be one of the following:
  - Municipality;
  - Private or public solid waste management facility;
  - Industrial facility contributing PFAS to a municipal wastewater treatment systems or the environment; and/or
  - Industrial Stormwater facility contributing PFAS to a municipal wastewater treatment systems or the environment.
- ~~Applicants must also have voluntarily participated in or have been sampled by an MPCA contractor as part of the MPCA PFAS Monitoring Plan.\* This includes:~~
  - ~~○ Municipal Wastewater PFAS Monitoring MOU participants or an industrial facility that discharges PFAS containing wastewater to one of the qualified municipal wastewater PFAS monitoring MOU participants;~~
  - ~~○ Industrial facilities that participated in or were sampled as part of an MPCA PFAS Monitoring Plan; and/or~~
  - ~~○ Solid waste management facilities that were part of or associated with an MPCA PFAS Monitoring Plan.~~

~~\*Reference the list of [Facilities included in the PFAS monitoring plan](#).~~

## Revision 3

In the RFP, page 2, “Eligible projects” is amended as follows:

### Eligible projects

- ~~Projects must be located in an area that has been identified as a location of environmental justice\* concern.~~
  - ~~\*Use the [MPCA’s criteria and interactive mapping tool](#) (recently updated on the MPCA website) to identify if the proposed project, or the direct benefit from the project, is located in one or more of MPCA’s identified environmental justice (EJ) areas of concern.~~
- Projects must clearly define objectives and tasks that describe how the objectives will be met, a timeframe and a detailed budget to the MPCA for evaluation.
- Project must meet one of the following:
  - Preparation and execution of PFAS Pollutant Management Plans;
  - Source identification work, including waste stream sampling, to identify sources of PFAS entering wastewater treatment systems or solid waste management facilities;
  - Identification of PFAS containing products and the implementation/use of products which are PFAS-free;
  - Identification of process equipment that may need to be replaced in the future due to historic PFAS use and/or PFAS contamination; and/or
  - Industrial Stormwater related projects that include source identification and reduction of PFAS at auto shredders, metal finishers, and/or airports.

## Revision 4

In the application, page 6, “Financial Review Process” is amended as follows:

### Financial Review Process

~~All Non-Governmental Organizations (NGO’s) applying for grants in the state of Minnesota must undergo a financial review prior to a grant award made of \$25,000 and higher.~~

~~In order to comply with [Policy 08-06](#), Financial Review of Nongovernmental Organizations the MPCA will ask applicants to submit one of the following documents, based on the following criteria:~~

- ~~Grant applicants with annual income of under \$50,000, or who have not been in existence long enough to have a completed IRS Form 990 or audit should submit their most recent board reviewed financial statements;~~
- ~~Grant applicants with total annual revenue of \$50,000 or more and less than \$750,000 should submit their most recent IRS Form 990; and~~
- ~~Grant applicants with total annual revenue of over \$750,000 should submit their most recent certified financial audit.~~

#### **Required financial and grantee capacity review**

Minn. Stat. §16B.981/[Chapter 62 - MN Laws](#), Article 7, Section 11 requires that a pre-award risk assessment is conducted for grant awards of \$50,000 or more.

All grantees as defined in Minn. Stat. §16B.981 Subd. 1 (c) applying for grants in the state of Minnesota must undergo a financial and capacity review **prior to a grant award of \$50,000 and higher.**

In order to comply with this requirement, the following information and documents will need to be submitted before the grant contract agreement is fully executed (**but do not submit them until you are contacted and requested to do so**):

- I. **Capacity Responses: All potential grantees: Exhibit C**
  - Please describe your history of performing the work that will be funded by the grant:
    - This includes describing your organization's current staffing and current budget.
- II. **Certification: No current principals have been convicted of a felony financial crime in the last ten years: All potential Grantees: Exhibit D**
- III. **Evidence of good standing: For-profit and nonprofit potential grantees: Exhibit E**
  - For-profit and nonprofit grantees: Filed and up-to-date with the Secretary of State
- IV. **Nonprofit grantees as applicable: Exhibit F**
  - Most recent Form 990 or Form 990-EZ
  - Most recent audited financial statement of a charitable organization which has received total revenue in excess of \$750,000 for the 12 months of operations covered by the statement per [Minn. Stat. §309.53](#)
  - Most recent board-reviewed (or managing group if applicable) financial statements, description of internal controls over business expenditures and outcomes of grant funds, if awarded, and evidence of exemption

The submission of inaccurate or misleading information may be grounds for disqualification from the grant contract agreement award and may subject an organization to suspension or debarment proceedings, as well as other remedies available to the State, by law.

Based on Minn. Stat. §16B.981/[Chapter 62 - MN Laws](#), Article 7, Section 11, Subd. 3-5 establishes the authority for a granting agency to:

- Provide or require enhanced grant oversight
- Request additional information from a potential grantee to determine whether there is a substantial risk that the potential grantee cannot or would not perform the required duties of the grant agreement.
  - The potential grantee has 30 business days to respond
- Develop a plan to address the risk or concerns identified
- Not award the grant.
  - The granting agency must provide notice of this determination to not award the grant to the grantee and the Commissioner of Administration.
  - The notice must include the following:
    - The reason for postponing/not awarding the grant

- The timeline for the process for contesting the agency's decision

**Please note, only awarded applicants will be required to fill out and submit forms.**

## Revision 5

In the RFP, page 8, "Exhibit A: Application assessment score sheet" is amended as follows:

### Eligible applicants

- Applicant must be one of the following:
  - Municipality;
  - Private or public solid waste management facility;
  - Industrial facility contributing PFAS to a municipal wastewater treatment system or the environment; and/or
  - Industrial Stormwater facility contributing PFAS to a municipal wastewater treatment system or the environment.
- ~~Applicants must also have voluntarily participated in or have been sampled by an MPCA contractor as part of the MPCA PFAS Monitoring Plan.\* This includes:~~
  - ~~○ Municipal Wastewater PFAS Monitoring MOU participants or an industrial facility that discharges PFAS containing wastewater to one of the qualified municipal wastewater PFAS monitoring MOU participants;~~
  - ~~○ Industrial facilities that participated in or were sampled as part of an MPCA PFAS Monitoring Plan; and/or~~
  - ~~○ Solid waste management facilities that were part of or associated with an MPCA PFAS Monitoring Plan.~~

~~\*Reference the list of [Facilities included in the PFAS monitoring plan.](#)~~

### Eligible projects

- ~~Projects must be located in an area that has been identified as a location of environmental justice\*\* concern.~~

~~\*\*Use the [MPCA's criteria and interactive mapping tool](#) (recently updated on the MPCA website) to identify if the proposed project, or the direct benefit from the project, is located in one or more of MPCA's identified environmental justice (EJ) areas of concern.~~
- Projects must meet one of the following:
  - Preparation and execution of PFAS Pollutant Management Plans;
  - Source identification work, including waste stream sampling, to identify sources of PFAS entering wastewater treatment systems or solids waste management facilities;
  - Identification of PFAS containing products and the implementation/use of products which are PFAS-free;
  - Identification of process equipment that may need to be replaced in the future due to historic PFAS use and/or PFAS contamination; and/or
  - Industrial Stormwater related projects that include source identification and reduction of PFAS at auto shredders, metal finishers and airports.

## Revision 6

In the application, page 2, the following questions are moved from "Eligibility Questions" to a new section, "Maximum Funding Questions":

Is the project located in or will it benefit an area that has been identified as a location of environmental justice

concern\*?

\*Using the [MPCA's criteria and interactive mapping tool](#) (recently updated on the MPCA website), identify if the proposed project, or the direct benefit from the project, is located in one or more of MPCA's identified environmental justice (EJ) areas of concern.

Submit a map and/or description. Use additional sheets if necessary.

Have you voluntarily participated in or were you sampled by an MPCA contractor as part of the MPCA PFAS Monitoring Plan\*\*?

If yes, which of the following do you meet?

Municipal Wastewater PFAS Monitoring MOU participants or an industrial facility that discharges PFAS containing wastewater to one of the qualified municipal wastewater PFAS monitoring MOU participants

Industrial facilities that participated in or were sampled as part of an MPCA PFAS Monitoring Plan; and/or

Solid waste management facilities that were part of or associated with an MPCA PFAS Monitoring Plan.

Reference the list of [Facilities included in the PFAS monitoring plan](#).

**RESPONDER NAME:** \_\_\_\_\_

**TITLE:** \_\_\_\_\_

**DATE:** \_\_\_\_\_