



**Minnesota Pollution
Control Agency**

520 Lafayette Road North
St. Paul, MN 55155-4194

Lamp Accumulation Financial Assurance Form

Hazardous Waste Program

Doc Type: License Application

Instructions on Page 2

Type or print only. You may complete this report form electronically on the Minnesota Pollution Control Agency (MPCA) website at <http://www.pca.state.mn.us/publications/w-hw7-20.doc>. When complete, attach your financial instrument to this form, make one copy for your records, and mail the original to the address above, *Attn: Hazardous Waste Licensing*. Ensure you submit an original signed copy of this form and your financial instrument, including all required attachments, to the MPCA.

Complete and submit a separate Lamp Accumulation Financial Assurance Form for each site where you will accumulate more than 1000 universal waste lamps. You may combine the financial assurance for multiple sites into one instrument.

Remember: Even though you are submitting financial assurance for the proper management of the universal waste lamps at your site, you must still comply with all of the universal waste requirements for those lamps, including ensuring that they are shipped from your site for recycling within one year of their arrival. Ensure you can demonstrate compliance with this limit. Though certain models of mercury-containing lamps are advertised to pass hazardous waste thresholds, you must recycle all mercury-containing lamps in Minnesota, regardless of whether they are hazardous.

For more information on universal waste lamp requirements, see MPCA hazardous waste fact sheet #4.62, 'Managing Universal Wastes', at <http://www.pca.state.mn.us/publications/w-hw4-62.pdf>.

1. **Hazardous Waste Identification Number (HWID):** _____
2. **Name of site:** _____
(Site name associated with the line #1 HWID.)
3. **Maximum number of universal waste lamps to be stored at site:** _____
Lamp disposal and site closure assurance cost: \$1.00/lamp
4. **Total financial assurance amount** (Multiple line #3 by \$1.00 per lamp): \$ _____
5. **Financial assurance instrument** (Choose one of these two options and check the appropriate box):
 - ☐ **Fully-funded Closure Trust Fund**
 - Ensure the trust agreement text complies with Minn. R. 7045.0524, subp. 1, Item A.
 - Submit an original signed copy of the trust agreement.
 - Attach a formal certification of acknowledgement as is shown in Minn. R. 7045.0524, subp. 1, Item B.
 - Ensure you deposit the full amount of cash into the trust fund to cover the financial assurance amount before submitting your financial assurance to the MPCA; you may not make periodic payments into the fund to cover this amount.
 - Choose a trustee who has full authority to act as a trustee and is regulated and examined by a federal or state agency. The trustee need not be a Minnesota entity.
 - These terms are specified in Minn. R. 7045.0504, subp. 2, and Minn. R. 7045.1400, subp. 3.
 - ☐ **Letter of Credit with a Standby Trust Fund**
 - Ensure the letter of credit text complies with Minn. R. 7045.0524, subp. 4.
 - Submit the original signed letter of credit.
 - Establish a standby trust fund which meets all other requirements of the Fully-funded Closure Trust Fund specified above except for funding.
 - Submit an original signed copy of the trust agreement.
 - Choose an issuing institution that is authorized to issue letters of credit and whose letter of credit operations are regulated and examined by a federal or state agency. The issuing institution need not be a Minnesota entity.
 - Attach a letter from you referring to the letter of credit by number, issuing institution, and date, and also including: the HWID, name, and address of the site, and the amount of funds assured for closure of the site by the letter of credit.
 - Ensure the letter of credit is irrevocable and issued for a minimum of a year, and will automatically be extended annually unless the issuing institution notifies both you and the MPCA at least 120 days before expiration.
 - These terms are specified in Minn. R. 7045.0504, subp. 5, and Minn. R. 7045.1400, subp. 3.

You may visit the Office of the Revisor of Statutes at <https://www.revisor.mn.gov/pubs> to review these Minnesota Rules directly.

Certification

I certify under penalty of law that I have personally examined and am familiar with the information submitted in this and all attached documents, and that based on my inquiry of those individuals immediately responsible for obtaining the information, I believe that the submitted information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

6. **Print name:** _____ **Title:** _____
7. **Signature:** _____ **8. Date:** _____

Instructions

When do I need to submit financial assurance?

If you accumulate more than 1000 universal waste lamps at your site in Minnesota, you must submit financial assurance for the proper management of the lamps to the Minnesota Pollution Control Agency (MPCA) unless you:

- accumulate lamps generated only from your own site.
- accumulate lamps generated only from your real estate or building maintenance activities.
- have obtained a license from the MPCA as a Household Hazardous Waste Collection Program.

Sites located in one of the Minneapolis-St. Paul Metropolitan Counties of Anoka, Carver, Dakota, Hennepin, Ramsey, Scott, or Washington may be subject to additional financial assurance requirements. Check with your county for your local requirements.

Universal waste lamps include any bulb or tube portion of an electrical lighting device that would be, at the time of disposal, a hazardous waste. Universal waste lamps include, but are not limited to fluorescent, high intensity discharge (HID), mercury vapor, low and high pressure sodium (LPS and HPS), metal halide, and neon (includes lamps containing other noble gases, such as argon, krypton, or xenon).

Complete and submit a separate [Lamp Accumulation Financial Assurance Form](http://www.pca.state.mn.us/publications/w-hw7-20.doc) on the MPCA's website at <http://www.pca.state.mn.us/publications/w-hw7-20.doc> for each site where you will accumulate more than 1000 universal waste lamps. You may combine the financial assurance for multiple sites into one instrument.

- 1. Hazardous Waste Identification Number (HWID):** Enter the HWID for the site where you will accumulate more than 1000 universal waste lamps. If you do not have an HWID for this site, you may get one free of charge by completing the [Notification of Regulated Waste Activity](http://www.pca.state.mn.us/publication/w-hw7-09.doc) form on the MPCA's website at <http://www.pca.state.mn.us/publication/w-hw7-09.doc>.
For more information on HWIDs, see MPCA hazardous waste fact sheet #1.02, [Step 2: Obtain a Hazardous Waste Identification Number](http://www.pca.state.mn.us/publications/w-hw1-02.pdf), at <http://www.pca.state.mn.us/publications/w-hw1-02.pdf>.
- 2. Name of site:** Enter the name of the site which is associated with the HWID on line #1.
- 3. Maximum number of universal waste lamps to be stored at site:** Enter the maximum number of universal waste lamps you will accumulate at the site at any one time.
- 4. Total financial assurance amount:** Multiply the number of lamps you entered on line #3 by \$1.00 per lamp and enter the result.
- 5. Financial assurance instrument:** Choose one of the two allowed financial assurance instruments and check the appropriate box. Ensure the amount of the instrument is at least as much as the amount you entered on line #4.
- 6. Printed name and title:** Enter the name and title of the person who signed this form.
- 7. Signature:** Read the Certification statement. Your owner, Chief Executive Officer (CEO), or their authorized employee must sign this form. You may not sign this form if you are a contractor or consultant to the business or government agency operating the site.
- 8. Date:** Enter the date this form was signed.

More Information

The MPCA and your metropolitan county hazardous waste offices have staff that can help you. Contact your metropolitan county or the MPCA office nearest your facility at the numbers below.

Metro County Hazardous Waste Offices

Anoka County	763-422-7093
Carver County	952-361-1800
Dakota County	952-891-7557
Hennepin County	612-348-3777
Ramsey County	651-266-1199
Scott County	952-496-8475
Washington County	651-430-6655
Website	http://www.co.[county].mn.us

Minnesota Pollution Control Agency

Toll free (all offices)	1-800-657-3864
Brainerd	218-828-2492
Detroit Lakes	218-847-1519
Duluth	218-723-4660
Mankato	507-389-5977
Marshall	507-537-7146
Rochester	507-285-7343
St. Paul	651-296-6300
Willmar	320-214-3786
Website	http://www.pca.state.mn.us